

Supply Chain Audit Questionnaire

We can design the supply chain audit questionnaire around the five key risks listed above. We have provided questions to guide you during fieldwork as a supply chain audit program to understand the controls in place for each risk.

Reputation

- ☐ What backup plans are in place for critical vendors?
- ☐ Are there any “sole source” providers who supply critical elements to the supply chain?
- ☐ Are suppliers held to any ethical standards and monitored for negative headlines and open litigation?

Cybersecurity

- ☐ Are suppliers asked to provide evidence of strong internal IT controls (e.g., SOC reports)?
- ☐ Does the supplier have an internal audit team that reviews cybersecurity?

Geopolitical Exposure

- ☐ Does your procurement process consider location as a factor when choosing suppliers?
- ☐ Are critical suppliers located in high-risk global locations?
- ☐ Are supplier locations evaluated annually for geopolitical risk?

Contract Compliance

- ☐ Does your company track delivery timeliness to commitments and follow up on delays?
- ☐ Are “right to audit” clauses included in contracts with suppliers? Has the clause ever been enforced?
- ☐ Are SLAs included and enforced with the ability to revise the SLAs over time?

Quality

- ☐ Are quality checks performed on goods and materials received from suppliers?
- ☐ How are quality standards communicated and enforced?
- ☐ Do employees have a process for reporting quality issues?