

	Q1 (Jan-Mar)			Q2 (Apr-Jun)			Q3 (Jul-Sep)			Q4 (Oct-Dec)		
	MONTH 1	MONTH 2	MONTH 3	MONTH 4	MONTH 5	MONTH 6	MONTH 7	MONTH 8	MONTH 9	MONTH 10	MONTH 11	MONTH 12
	[Auditor Action Steps]											
Prior Year Close-Out	[Finalization of PY SOX Testing] Review Notes* & Comments Function Testing Workflow AB Annotate Testing Templates (Files Module) Reports Module AB Analytics** <small>*Enterprise Tier Functionality **Add-on Feature</small>			[Archive Audit] Archiving								
	[SOC Report & Bridge Letter Reviews] Workstream for obtaining & reviewing SOC Reports Custom Issue Type* Control Setup for SOC1 Testing TPRM for Vendor Management <small>*Enterprise Tier Functionality</small>											
Current Year Prep & Actions	[SOX - Planning & Materiality Review for new FY (incl. External Audit), Risk Assessments] SOXHUB Risk Assessment SOXHUB Resource Planning (H1 2024 ETA) Conditional Permissions for External Audit RCM / COSO / Fin.App Views Control Certification via Workstream			[SOX - Walkthroughs & Test of 1] Workstream for Control Confirmation & Test of 1 PBC Visual Import & Update			[SOX - Interim & RF/YE Testing & Review] Review Notes* & Comments Function Testing Workflow Testing Templates (Files Module) AB Annotate AB Analytics** <small>*Enterprise Tier Functionality **Add-on Feature</small>					
	[Scoping] List View Control Templates RCM Inventory/Fin App Review & Update via Workstream			[Identification of addtl. IPE through WT] Control Setup for IPE, incl. Template Configuration								
	[Identification of IPE and related Inventory] Control Setup for IPE, incl. Template Configuration Visual Import / Update Setup of new Inventory Types, incl. Template Updates Inventory Data Confirmations via Workstream						[RF / YE PBC Requests] [302 Certs - due by EOQ] (recurring) Workstream					
	[Narrative Review & Refresh prior to WT Meetings] Narrative Module & Workstream			[Interim PBC Requests] [302 Certs - due by EOQ] (recurring) Workstream								
	[Control Certifications] [WT PBC Requests] [302 Certs - due by EOQ] (recurring) Workstream											
Ongoing	[Ongoing Issue Mitigation & Remediation once Issues are identified during Walkthrough / Interim / RF/ YE Testing] Issue & Action Plan Workflow Comment Function Required Fields Setup of new Issue Types			[Request PBC for Mitigation & Remediation*] <small>*Note: Issue Remediation & Mitigation Evidence MUST be obtained prior to or at YE</small> Workstream			[Ongoing Status & B2A Monitoring] (Issue) Reports (Standard) ABI Dashboards Audit Logs Timesheets Module + Dashboards AuditBoard Analytics Database (ADA)* <small>*Enterprise Tier Functionality</small>			[Ongoing cross-functional Teamwork] AuditBoard's Connected Risk Platform		

	Q1 (Apr-Jun)				Q2 (Jul-Sep)		Q3 (Oct-Dec)			Q4 (Jan-Mar)				
	MONTH 1	MONTH 2	MONTH 3	MONTH 4	MONTH 5	MONTH 6	MONTH 7	MONTH 8	MONTH 9	MONTH 10	MONTH 11	MONTH 12		
	[Auditor Action Steps]													
Prior Year Close-Out	<p>[Finalization of PY SOX Testing]</p> <p>Review Notes* & Comments Function Testing Workflow AB Annotate</p> <p>Testing Templates (Files Module) Reports Module AB Analytics**</p> <p><small>*Enterprise Tier Functionality **Add-on Feature</small></p>				<p>[Archive Audit]</p> <p>Archiving</p>									
	<p>[SOC Report & Bridge Letter Reviews]</p> <p>Workstream for obtaining & reviewing SOC Reports Custom Issue Type*</p> <p>Control Setup for SOC1 Testing TPRM for Vendor Management</p> <p><small>*Enterprise Tier Functionality</small></p>													
Current Year Prep & Actions	<p>[SOX - Planning & Materiality Review for new FY (incl. External Audit), Risk Assessments]</p> <p>SOXHUB Risk Assessment SOXHUB Resource Planning (H1 2024 ETA)</p> <p>Conditional Permissions for External Audit RCM / COSO / Fin.App Views</p> <p>Control Certification via Workstream</p>				<p>[SOX - Walkthroughs & Test of 1]</p> <p>Workstream for Control Confirmation & Test of 1 PBC</p> <p>Visual Import & Update</p>		<p>[SOX - Interim & RF/YE Testing & Review]</p> <p>Review Notes* & Comments Function Testing Workflow Testing Templates (Files Module)</p> <p>AB Annotate AB Analytics**</p> <p><small>*Enterprise Tier Functionality **Add-on Feature</small></p>							
	<p>[Scoping]</p> <p>List View Control Templates RCM</p> <p>Inventory/Fin App Review & Update via Workstream</p>				<p>[Identification of addtl. IPE through WT]</p> <p>Control Setup for IPE, incl. Template Configuration</p>									
	<p>[Identification of IPE and related Inventory]</p> <p>Control Setup for IPE, incl. Template Configuration Visual Import / Update</p> <p>Setup of new Inventory Types, incl. Template Updates</p> <p>Inventory Data Confirmations via Workstream</p>						<p>[RF / YE PBC Requests] [302 Certs - due by EOQ]</p> <p>(recurring) Workstream</p>							
	<p>[Narrative Review & Refresh prior to WT Meetings]</p> <p>Narrative Module & Workstream</p>				<p>[Interim PBC Requests] [302 Certs - due by EOQ]</p> <p>(recurring) Workstream</p>									
	<p>[Control Certifications] [WT PBC Requests] [302 Certs - due by EOQ]</p> <p>(recurring) Workstream</p>													
	Ongoing	<p>[Ongoing Issue Mitigation & Remediation once Issues are identified during Walkthrough / Interim / RF/ YE Testing]</p> <p>Issue & Action Plan Workflow Comment Function Required Fields Setup of new Issue Types</p>				<p>[Request PBC for Mitigation & Remediation*]</p> <p><small>*Note: Issue Remediation & Mitigation Evidence MUST be obtained prior to or at YE</small></p> <p>Workstream</p>		<p>[Ongoing Status & B2A Monitoring]</p> <p>(Issue) Reports (Standard) ABI Dashboards Audit Logs</p> <p>Timesheets Module + Dashboards AuditBoard Analytics Database (ADA)*</p> <p><small>*Enterprise Tier Functionality</small></p>			<p>[Ongoing cross-functional Teamwork]</p> <p>AuditBoard's Connected Risk Platform</p>			

	Q1 (Jul-Sep)			Q2 (Oct-Dec)			Q3 (Jan-Mar)			Q4 (Apr-Jun)		
	MONTH 1	MONTH 2	MONTH 3	MONTH 4	MONTH 5	MONTH 6	MONTH 7	MONTH 8	MONTH 9	MONTH 10	MONTH 11	MONTH 12
	[Auditor Action Steps]											
Prior Year Close-Out	[Finalization of PY SOX Testing] Review Notes* & Comments Function Testing Workflow AB Annotate Testing Templates (Files Module) Reports Module AB Analytics** <small>*Enterprise Tier Functionality **Add-on Feature</small>			[Archive Audit] Archiving								
	[SOC Report & Bridge Letter Reviews] Workstream for obtaining & reviewing SOC Reports Custom Issue Type* Control Setup for SOC1 Testing TPRM for Vendor Management <small>*Enterprise Tier Functionality</small>											
Current Year Prep & Actions	[SOX - Planning & Materiality Review for new FY (incl. External Audit), Risk Assessments] SOXHUB Risk Assessment SOXHUB Resource Planning (H1 2024 ETA) Conditional Permissions for External Audit RCM / COSO / Fin.App Views Control Certification via Workstream			[SOX - Walkthroughs & Test of 1] Workstream for Control Confirmation & Test of 1 PBC Visual Import & Update			[SOX - Interim & RF/YE Testing & Review] Review Notes* & Comments Function Testing Workflow Testing Templates (Files Module) AB Annotate AB Analytics** <small>*Enterprise Tier Functionality **Add-on Feature</small>					
	[Scoping] List View Control Templates RCM Inventory/Fin App Review & Update via Workstream			[Identification of addtl. IPE through WT] Control Setup for IPE, incl. Template Configuration								
	[Identification of IPE and related Inventory] Control Setup for IPE, incl. Template Configuration Visual Import / Update Setup of new Inventory Types, incl. Template Updates Inventory Data Confirmations via Workstream						[RF / YE PBC Requests] [302 Certs - due by EOQ] (recurring) Workstream					
	[Narrative Review & Refresh prior to WT Meetings] Narrative Module & Workstream			[Interim PBC Requests] [302 Certs - due by EOQ] (recurring) Workstream								
	[Control Certifications] [WT PBC Requests] [302 Certs - due by EOQ] (recurring) Workstream											
Ongoing	[Ongoing Issue Mitigation & Remediation once Issues are identified during Walkthrough / Interim / RF/ YE Testing] Issue & Action Plan Workflow Comment Function Required Fields Setup of new Issue Types			[Request PBC for Mitigation & Remediation*] <small>*Note: Issue Remediation & Mitigation Evidence MUST be obtained prior to or at YE</small> Workstream			[Ongoing Status & B2A Monitoring] (Issue) Reports (Standard) ABI Dashboards Audit Logs Timesheets Module + Dashboards AuditBoard Analytics Database (ADA)* <small>*Enterprise Tier Functionality</small>			[Ongoing cross-functional Teamwork] AuditBoard's Connected Risk Platform		

	Q1 (Oct-Dec)			Q2 (Jan-Mar)			Q3 (Apr-Jun)			Q4 (Jul-Sep)		
	MONTH 1	MONTH 2	MONTH 3	MONTH 4	MONTH 5	MONTH 6	MONTH 7	MONTH 8	MONTH 9	MONTH 10	MONTH 11	MONTH 12
	[Auditor Action Steps]											
Prior Year Close-Out	[Finalization of PY SOX Testing] Review Notes* & Comments Function Testing Workflow AB Annotate Testing Templates (Files Module) Reports Module AB Analytics** <small>*Enterprise Tier Functionality **Add-on Feature</small>			[Archive Audit] Archiving								
	[SOC Report & Bridge Letter Reviews] Workstream for obtaining & reviewing SOC Reports Custom Issue Type* Control Setup for SOC1 Testing TPRM for Vendor Management <small>*Enterprise Tier Functionality</small>											
Current Year Prep & Actions	[SOX - Planning & Materiality Review for new FY (incl. External Audit), Risk Assessments] SOXHUB Risk Assessment SOXHUB Resource Planning (H1 2024 ETA) Conditional Permissions for External Audit RCM / COSO / Fin.App Views Control Certification via Workstream			[SOX - Walkthroughs & Test of 1] Workstream for Control Confirmation & Test of 1 PBC Visual Import & Update			[SOX - Interim & RF/YE Testing & Review] Review Notes* & Comments Function Testing Workflow Testing Templates (Files Module) AB Annotate AB Analytics** <small>*Enterprise Tier Functionality **Add-on Feature</small>					
	[Scoping] List View Control Templates RCM Inventory/Fin App Review & Update via Workstream			[Identification of addtl. IPE through WT] Control Setup for IPE, incl. Template Configuration								
	[Identification of IPE and related Inventory] Control Setup for IPE, incl. Template Configuration Visual Import / Update Setup of new Inventory Types, incl. Template Updates Inventory Data Confirmations via Workstream						[RF / YE PBC Requests] [302 Certs - due by EOQ] (recurring) Workstream					
	[Narrative Review & Refresh prior to WT Meetings] Narrative Module & Workstream			[Interim PBC Requests] [302 Certs - due by EOQ] (recurring) Workstream								
	[Control Certifications] [WT PBC Requests] [302 Certs - due by EOQ] (recurring) Workstream											
Ongoing	[Ongoing Issue Mitigation & Remediation once Issues are identified during Walkthrough / Interim / RF/ YE Testing] Issue & Action Plan Workflow Comment Function Required Fields Setup of new Issue Types			[Request PBC for Mitigation & Remediation*] <small>*Note: Issue Remediation & Mitigation Evidence MUST be obtained prior to or at YE</small> Workstream			[Ongoing Status & B2A Monitoring] (Issue) Reports (Standard) ABI Dashboards Audit Logs Timesheets Module + Dashboards AuditBoard Analytics Database (ADA)* <small>*Enterprise Tier Functionality</small>			[Ongoing cross-functional Teamwork] AuditBoard's Connected Risk Platform		